



EPSTEIN, EDELL, SHAPIRO & FINNAN, LLC  
1901 Research Boulevard  
Suite 400  
Rockville, Maryland 20850-3164  
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In re the PATENT application of

James CLARK

Group Art Unit: 2631

Serial No.: 09/711,177

Filed: November 13, 2000

For: Method and Apparatus for Generating an Interleaved Code

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

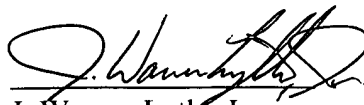
TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the subject application are a Response to the Notice to File Missing Parts (2 pages), a Declaration and Power of Attorney (2 pages), Copy of Notice to File Missing Parts, Request for Corrected Official Filing Receipt and Check #4593 in the amount of \$1252.00 in payment of the subject application filing fee and surcharge.

Applicant hereby petitions for any extension of time necessary to maintain the pendency of the application. The Commissioner is hereby authorized to charge payment of any additional fees required for the above-identified application or credit any overpayment to Deposit Account No. 05-0460.

Respectfully submitted,

  
J. Warren Lytle, Jr.  
Registration No. 39,283

Hand-delivered: March 2, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the PATENT application of

James CLARK

Serial No.: 09/711,177

Filed: November 13, 2000



For: Method and Apparatus for Generating an Interleaved Code

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated January 11, 2001, enclosed for filing in the subject application are an executed Declaration and Power of Attorney (2 pages), a copy of the Notice to File Missing Parts, and Check #4593 in the amount of \$1252.00 in payment of the subject application filing fee calculated as shown below.

FEE CALCULATION

						BASIC FILING FEE	\$710.00
						LATE SURCHARGE	\$130.00
TOTAL CLAIMS	34	-	20	=	14	Extra x \$18 =	\$ 252.00
INDEP. CLAIMS	5	-	3	=	2	Extra x \$80 =	\$ 160.00
						TOTAL FEE = \$1252.00	

It is noted that the Notice to File Missing Parts and the transmittal letter of November 13, 2000, incorrectly indicate that the application contains only four (4) independent claims. The

application actually contains five (5) independent claims, and accordingly the excess claim fee for two (2) excess independent claims is submitted.

Applicant hereby petitions for any extension of time necessary to maintain the pendency of the application. The Commissioner is hereby authorized to charge any additional fees required for the above-identified application or credit any overpayment to Deposit Account No. 05-0460.

Respectfully submitted,



J. Warren Lytle, Jr.  
Registration No. 39,283

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Washington, D. C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Applicant respectfully requests the U.S. Patent and Trademark Office (USPTO) to correct the Official Filing Receipt by correcting the Continuing Application information and indicating that five (5) independent claims are pending in the subject application, as shown on the attached copy of the Official Filing Receipt. The Official Filing Receipt presently and incorrectly indicates four (4) independent claims. This request is submitted concurrently with a response to the Notice to File Missing Parts, and a copy of the Notice is attached here.

The USPTO is respectfully requested to send Applicant a corrected Official Filing Receipt incorporating the requested corrections.

Please do not hesitate to contact the undersigned at the telephone number noted above if there are any questions.

Respectfully submitted,

J. Warren Lytle, Jr.

Registration No. 39,283

Hand-delivered: March 2, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/711,177	11/13/2000	2631	0	0918.0040C	6	34	A 5

Epstein Edell Shapiro & Finnan LLC  
1901 Research Boulevard  
Suite 400  
Rockville, MD 20850-3164



## FILING RECEIPT



\*OC000000005671898\*

Date Mailed: 01/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

James M. Clark, Verona, NJ ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/164,944 11/12/1999 *and*  
~~WHICH CLAIMS BENEFIT OF 60/164,945 11/12/1999~~

## Foreign Applications

If Required, Foreign Filing License Granted 01/11/2001

## Title

Method and apparatus for generating an interleaved code

## Preliminary Class

375

Data entry by : MONROE, BEULAH

Team : OIPE

Date: 01/11/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- o The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- o The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- o The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- o The docket number allows a maximum of 25 characters.
- o If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- o The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/711,177	11/13/2000	James M. Clark	0918.0040C

Epstein Edell Shapiro & Finnan LLC  
1901 Research Boulevard  
Suite 400  
Rockville, MD 20850-3164

## DOCKETED

## FORMALITIES LETTER

CLT/MATTER # 0918-0040C 0000000005671899\*MAIL DATE 1-11-01DUE DATE March 11, 2001FINAL DEADLINE Aug 11, 2001DOCKETED BY pdc

Date Mailed: 01/11/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$332.
  - \$252 for 14 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1172.

A copy of this notice **MUST** be returned with the reply.

*P. Monac*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/05/2001 SSESHE1 00000175 09711177  
01 FC:101 710.00 OP  
02 FC:102 160.00 OP  
03 FC:103 252.00 OP  
04 FC:105 130.00 OP